

**Kotak Mahindra General Insurance Company Limited**  
IRDAI Registration No. 152 Dated November 18, 2015



PERIODIC DISCLOSURES  
Statement as on: 30th September 2020  
Periodicity of Submission : Quarterly

FORM NL-36 YIELD ON INVESTMENTS 1  
Statement of Investment and Income on Investment

Rs. Lakhs

| No.      | Category of Investment   | Category Code | Current Quarter  |                 |                            |                              |                            | Year to Date     |                 |                            |                              |                            | Previous Year    |                 |                            |                              |                            |
|----------|--|---------------|------------------|-----------------|----------------------------|------------------------------|----------------------------|------------------|-----------------|----------------------------|------------------------------|----------------------------|------------------|-----------------|----------------------------|------------------------------|----------------------------|
|          |  |               | Investment (Rs.) |                 | Income on Investment (Rs.) | Gross Yield (%) <sup>1</sup> | Net Yield (%) <sup>2</sup> | Investment (Rs.) |                 | Income on Investment (Rs.) | Gross Yield (%) <sup>1</sup> | Net Yield (%) <sup>2</sup> | Investment (Rs.) |                 | Income on Investment (Rs.) | Gross Yield (%) <sup>1</sup> | Net Yield (%) <sup>2</sup> |
|          |  |               | Book Value       | Market Value    |                            |                              |                            | Book Value       | Market Value    |                            |                              |                            | Book Value       | Market Value    |                            |                              |                            |
| <b>A</b> | <b>Government Securities</b>   |               |                  |                 |                            |                              |                            |                  |                 |                            |                              |                            |                  |                 |                            |                              |                            |
| A01      | Central Government Bonds   | CGSB          | 34036.75         | 40476.30        | 559.05                     | 6.52%                        | 6.52%                      | 26075.46         | 40476.30        | 835.06                     | 6.39%                        | 6.39%                      | 8041.79          | 11606.55        | 599.05                     | 14.90%                       | 14.90%                     |
| A03      | Deposit under Section 7 of Insurance Act, 1938   | CDSS          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
| A04      | Treasury Bills   | CTRB          | 2020.39          | 0.00            | 16.95                      | 6.25%                        | 6.25%                      | 2630.82          | 0.00            | 52.60                      | 5.21%                        | 5.21%                      | 5719.73          | 5845.74         | 178.11                     | 6.23%                        | 6.23%                      |
| <b>B</b> | <b>Government Securities/Other Approved Securities</b>   |               |                  |                 |                            |                              |                            |                  |                 |                            |                              |                            |                  |                 |                            |                              |                            |
| B01      | Central Government Guaranteed Loans / Bonds  | CGSL          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
| B04      | Other Approved Securities (excluding Infrastructure Investments)                                 | SGOA          | 1015.97          | 1059.06         | 18.00                      | 7.03%                        | 7.03%                      | 1016.26          | 1059.06         | 36.19                      | 7.10%                        | 7.10%                      | 1045.94          | 1029.45         | 49.99                      | 9.53%                        | 9.53%                      |
| B02      | State Government Bonds   | SGGB          | 2157.47          | 2173.27         | 45.07                      | 8.29%                        | 8.29%                      | 2742.92          | 2173.27         | 152.56                     | 11.09%                       | 11.09%                     | 1371.38          | 1155.51         | 76.11                      | 11.10%                       | 11.10%                     |
| <b>C</b> | <b>Housing &amp; Loans To State Govt. For Housing And Fire Fighting Equipment</b>                |               |                  |                 |                            |                              |                            |                  |                 |                            |                              |                            |                  |                 |                            |                              |                            |
|          | <b>TAXABLE BONDS OF</b>  |               |                  |                 |                            |                              |                            |                  |                 |                            |                              |                            |                  |                 |                            |                              |                            |
| C04      | Commercial Papers - NHB / Institutions accredited by NHB   | HTLN          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 469.63           | 0.00            | 11.56                      | 9.56%                        | 9.56%                      |
| C08      | Bonds/Debentures issued by NHB / Institution accredited by NHB                                   | HTDN          | 9240.15          | 9520.68         | 162.16                     | 6.96%                        | 6.96%                      | 8604.41          | 9520.68         | 317.49                     | 7.36%                        | 7.36%                      | 4606.78          | 2713.44         | 275.73                     | 11.94%                       | 11.94%                     |
| C12      | Debentures / Bonds / CPs / Loans   | HODS          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
| <b>D</b> | <b>Infrastructure Investments</b>  |               |                  |                 |                            |                              |                            |                  |                 |                            |                              |                            |                  |                 |                            |                              |                            |
| D01      | Infrastructure - Other Approved Securities   | ISAS          | 2033.56          | 2132.61         | 37.83                      | 7.38%                        | 7.38%                      | 2916.93          | 2132.61         | 186.21                     | 12.73%                       | 12.73%                     | 3593.30          | 4631.50         | 217.21                     | 12.09%                       | 12.09%                     |
| D02      | Infrastructure - PSU - Equity shares - Quoted  | ITPE          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
| D03      | Infrastructure - Corporate Securities - Equity shares-Quoted                                     | ITCE          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
|          | LT Bank Bonds Approved Investment- Infrastructure  | ILBI          | 1557.33          | 0.00            | 87.03                      | 46.36%                       | 46.36%                     | 2631.98          | 0.00            | 189.80                     | 19.50%                       | 19.50%                     | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
|          | <b>TAXABLE BONDS OF</b>  |               |                  |                 |                            |                              |                            |                  |                 |                            |                              |                            |                  |                 |                            |                              |                            |
| D08      | Infrastructure - PSU - Debentures / Bonds  | IPTD          | 8996.66          | 7663.35         | 418.45                     | 18.45%                       | 18.45%                     | 11628.53         | 7663.35         | 912.09                     | 15.64%                       | 15.64%                     | 12639.94         | 12556.23        | 659.73                     | 10.41%                       | 10.41%                     |
| D09      | Infrastructure - PSU - CPs   | ICPC          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
| D10      | Infrastructure - Other Corporate Securities - Debentures/ Bonds                                  | ICTD          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
| D11      | Infrastructure - Other Corporate Securities - CPs  | ICCP          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
| D15      | Infrastructure - Equity (including unlisted)   | IOEQ          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
| D16      | Infrastructure - Debentures / Bonds / CPs / loans  | IODS          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
| <b>E</b> | <b>Approved Investment Subject To Exposure Norms</b>   |               |                  |                 |                            |                              |                            |                  |                 |                            |                              |                            |                  |                 |                            |                              |                            |
| E01      | PSU - Equity shares - quoted   | EAEQ          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
| E02      | Corporate Securities - Equity shares (Ordinary)-quoted   | EACE          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
| E05      | Corporate Securities - Bonds - (Taxable)   | EPBT          | 5645.35          | 5157.24         | 226.93                     | 15.95%                       | 15.95%                     | 4253.36          | 5157.24         | 275.29                     | 12.91%                       | 12.91%                     | 2508.72          | 2487.33         | 26.41                      | 10.38%                       | 10.38%                     |
| E07      | Corporate Securities - Preference Shares   | EPNQ          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
| E09      | Corporate Securities - Debentures  | ECOS          | 10960.90         | 10810.39        | 233.38                     | 8.45%                        | 8.45%                      | 11007.65         | 10810.39        | 460.95                     | 8.35%                        | 8.35%                      | 10389.54         | 12586.04        | 492.31                     | 9.45%                        | 9.45%                      |
| E10      | Corporate Securities - Debentures / Bonds/ CPs /Loan - Promoter Group                            | EDPG          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
| E14      | Loans - Policy Loans   | ELPL          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
| E17      | Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI | ECDB          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
| E18      | Deposits - CDs with Scheduled Banks  | EDCD          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
| E19      | Deposits - Repo / Reverse Repo - Govt Securities   | ECMR          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
| E22      | CCIL - CBLO  | ECBO          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
| E23      | Commercial Papers  | ECCP          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
| E24      | Application Money  | ECAM          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
| E25      | Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks                            | EUPD          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
| E26      | Perpetual Debt Instruments of Tier I & II Capital issued by Non-PSU Banks                        | EPPD          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
| E30      | Mutual Funds - Gilt / G Sec / Liquid Schemes   | EGMF          | 692.42           | 1122.52         | 4.28                       | 2.45%                        | 2.45%                      | 849.51           | 1122.52         | 11.53                      | 2.71%                        | 2.71%                      | 1005.20          | 886.28          | 27.79                      | 5.51%                        | 5.51%                      |
| E31      | Mutual Funds - (under Insurer's Promoter Group)  | EMPG          | 374.46           | 493.91          | 2.38                       | 2.52%                        | 2.52%                      | 437.65           | 493.91          | 6.02                       | 2.74%                        | 2.74%                      | 363.87           | 250.04          | 10.12                      | 5.58%                        | 5.58%                      |
| E32      | Net Current Assets (Only in respect of ULIP Business)  | ENCA          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
| <b>F</b> | <b>Other Investments</b>   |               |                  |                 |                            |                              |                            |                  |                 |                            |                              |                            |                  |                 |                            |                              |                            |
| F01      | Bonds - PSU - Taxable  | OBPT          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
| F03      | Equity Shares (incl Co-op Societies)   | OESH          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
| F06      | Passively Managed Equity ETF (Non Promoter Group)  | OETF          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 1277.19          | 0.00            | 39.22                      | 6.19%                        | 6.19%                      | 726.22           | 1075.57         | 0.00                       | 0.00%                        | 0.00%                      |
| F16      | Alternate Investment Funds (Category I)  | OAFA          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
| F06      | Passively Managed Equity ETFs (Promoter Group)   | OETP          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 579.22           | 0.00            | 29.43                      | 10.25%                       | 10.25%                     | 374.14           | 477.84          | 0.00                       | 0.00%                        | 0.00%                      |
| F14      | Mutual Funds - Debt / Income / Serial Plans  | OMGS          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
| F15      | Mutual Funds (under Insurer's Promoter Group)  | OMPG          | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      | 0.00             | 0.00            | 0.00                       | 0.00%                        | 0.00%                      |
|          | <b>Grand Total</b>   |               | <b>78731.41</b>  | <b>80609.35</b> | <b>1811.50</b>             | <b>9.13%</b>                 | <b>9.13%</b>               | <b>76651.89</b>  | <b>80609.35</b> | <b>3504.45</b>             | <b>9.12%</b>                 | <b>9.12%</b>               | <b>52856.20</b>  | <b>57301.51</b> | <b>2624.11</b>             | <b>9.93%</b>                 | <b>9.93%</b>               |