

PERIODIC DISCLOSURES

FORM NL-31 RELATED PARTY TRANSACTIONS - March 31, 2020

(Rs in Lakhs)

Sr.No.	Name of the Related Party	Nature of Relationship with the Company	Description of Transactions / Categories	Consideration paid / received*			
				For the quarter ended March 31,2020	Upto the quarter ended March 31,2020	For the quarter ended March 31,2019	Upto the quarter ended March 31,2019
1	KOTAK INVESTMENT ADVISORS LTD	Fellow Subsidiary	Claims Paid	-	0.62	0.27	1.29
			Premium Deposits	0.82	0.82	0.00001	0.00007
			Premium Received in Advance	0.04	0.44	0.61	0.61
			Premium Income	1.02	2.52	1.95	5.99
2	KOTAK MAHINDRA ASSET MANAGEMENT COMPANY LTD	Fellow Subsidiary	Claims Paid	1.55	8.92	2.03	4.99
			Premium Deposits	(0.53)	0.00	-	0.00
			Premium Income	3.01	10.64	1.64	9.02
			Premium Received in Advance	2.23	2.80	(0.40)	0.24
3	KOTAK MAHINDRA INVESTMENT LTD	Fellow Subsidiary	Premium Deposits	(0.40)	0.00	(2.00)	0.00003
			Premium Income	2.21	4.43	1.73	5.64
			Premium Received in Advance	(0.01)	0.66	0.38	0.68
			Claims Paid	-	0.27	0.23	0.83
4	KOTAK MAHINDRA PRIME LTD	Fellow Subsidiary	Advance Commission	(0.33)	0.21	(0.64)	-
			Claims Paid	0.51	1.92	0.88	1.29
			Commission Paid	19.15	98.82	27.54	110.59
			Commission Payable	(3.04)	4.87	(0.13)	8.92
			Premium Deposits	1.86	41.00	(6.12)	12.35
			Premium Income	5.24	17.44	1.62	12.19
			Premium Received in Advance	(0.12)	1.14	0.37	0.65
			Transfer of Employee Liability from Group Companies	-	-	0.15	0.15
			Interest Income	-	-	-	16.85
			Outstanding Payables	(0.13)	0.27	(0.84)	0.30
			Reimbursement to Group Companies	(0.60)	2.98	0.58	3.30
			Rent Premises	0.46	1.84	0.46	1.84
			Claims Paid	3.09	13.87	4.47	16.59
			Commission Paid	0.87	0.87	-	-
			Outstanding Payables	(0.54)	0.54	-	-
			Premium Deposits	2.18	2.71	(0.14)	0.34
Commission Payable	0.87	0.87	-	-			
Premium Income	6.10	48.78	5.05	38.93			
Reimbursement to Group Companies	1.50	6.00	1.50	1.50			
Advance Commission	0.07	0.07	-	-			
Premium Received in Advance	(1.65)	3.34	1.49	3.64			
Advance Commission	(5.86)	3.58	(4.33)	2.83			
Bank Balance	(457.76)	397.99	(63.77)	701.01			
Claims Paid	26.59	157.42	28.09	95.46			
Commission Paid	310.86	1,319.70	344.72	1,306.63			
Commission Payable	(32.33)	70.34	(16.93)	98.90			
Investment in Equity Capital	-	8,500.00	-	4,500			
Outstanding Payables	(51.03)	63.60	(20.72)	65.78			
Outstanding Receivables	-	-	(12.11)	-			
Bank Charges	1.29	5.39	1.82	4.02			
Premium Deposits	46.99	191.37	13.83	136.82			
Premium Income	255.09	408.77	32.86	195.91			
Premium Received in Advance	0.88	32.05	3.74	15.76			
Rent Premises	71.97	280.53	69.22	251.69			
Reimbursement to Group Companies	83.14	342.69	78.21	225.32			
Transfer of Employee Liability from Group Companies	0.98	1.84	-	10.87			
Employee Insurance Premium	1.26	5.23	1.18	4.84			
Outstanding Payables	(2.06)	0.52	(3.62)	2.00			
Outstanding Receivables	-	-	(0.15)	2.02			
Premium Income	7.29	26.33	3.52	16.45			
Prepaid Expenses	(1.26)	0.00	(1.18)	0.11			
Premium Deposits	(0.39)	1.10	(0.39)	-			
Receivable from Group Company	(0.81)	1.89	-	-			
Reimbursement to Group Companies	0.69	5.93	4.82	14.75			
Reimbursement From Group Companies	1.88	8.23	1.98	5.27			
Rent Recovery	3.91	15.44	3.73	8.71			
Rent Premises	(0.50)	6.46	2.21	12.26			
Premium Received in Advance	0.58	3.82	1.57	2.35			
Claims Paid	13.95	17.72	0.31	3.34			
Employee Asset Transfer	5.11	5.11	-	-			
Transfer of Employee Liability to Group Companies	11.00	11.00	-	-			
Transfer of Employee Liability from Group Companies	-	-	-	-			
Premium Income	0.60	6.15	0.66	8.49			
Claims Paid	0.50	1.34	3.52	5.11			
Premium Received in Advance	-	0.52	-	0.24			
Premium Deposits	-	0.00	0.00	0.0001			
Premium Income	-	0.80	-	0.25			
Premium Received in Advance	-	0.13	-	-			
Premium Income	-	-	-	0.12			
9	Kotak Mahindra Capital Company Limited	Fellow Subsidiary	Premium Income	-	-	0.19	0.19
			Premium Income	12.08	75.94	2.00	35.50
10	Kotak Mahindra Trusteeship Services Limited	Fellow Subsidiary	Claims Paid	3.08	21.22	2.07	2.07
			Premium Deposits	(5.03)	2.31	2.64	6.95
11	KOTAK INFRASTRUCTURE DEBT FUND LTD	Fellow Subsidiary	Premium Deposits	-	0.00	-	-
			Premium Income	-	0.04	-	0.04
12	BSS MICROFINANCE LTD	Fellow Subsidiary	Premium Income	0.83	0.83	0.53	0.53
			Claims Paid	-	-	-	0.12
13	BALASUBRAMANIAN T S	Relative of CEO	Premium Deposits	-	0.00	-	-
			Premium Income	-	0.04	-	0.04
14	Mahesh Balasubramanian	Managing Director & CEO	Premium Income	0.83	0.83	0.53	0.53
			Claims Paid	-	-	-	0.12
			Premium Deposits	-	0.00	-	-
			Premium Received in Advance	0.02	0.08	(0.06)	-
15	Phoenix ARC Private Limited	Enterprises over which individual or their relatives have their significant influence	Remuneration of KMP	100.21	292.64	56.00	279.21
			Premium Deposits	-	-	-	-
16	Uday Kotak and Relatives	Individual having significant influence	Premium Income	-	0.99	(0.00)	1.66
			Premium Deposits	-	0.00	0.40	0.40
			Claims Paid	-	-	-	1.01
			Premium Income	0.66	2.54	0.20	1.21
17	Kotak Commodities Services Private Ltd	Enterprises over which individual or their relatives have their significant influence	Premium Received in Advance	0.13	0.29	-	-
			Premium Income	-	0.77	0.15	1.92
18	Infina Finance Private Ltd	Enterprises over which individual or their relatives have their significant influence	Claims Paid	-	0.49	-	-
			Premium Received in Advance	-	0.07	0.14	0.14
19	Harisiddha Trading and Finance Pvt Ltd	Enterprises over which individual or their relatives have their significant influence	Premium Deposits	0.00	0.00	(0.02)	-
			Claims Paid	-	2.74	0.41	0.41
20	Palko Properties Private Ltd	Enterprises over which individual or their relatives have their significant influence	Premium Received in Advance	(0.08)	0.08	0.17	0.17
			Premium Income	0.18	0.90	1.01	1.60
21	Kotak Education Foundation	Enterprises over which individual or their relatives have their significant influence	Premium Income	-	0.03	-	-
			Claims Paid	1.99	8.51	-	-
22	Business Standard Private Limited	Enterprises over which individual or their relatives have their significant influence	Premium Deposits	(0.09)	2.10	-	-
			Premium Income	-	-	-	-
				454	12,614	562	8,289