

PERIODIC DISCLOSURES

FORM NL-31 RELATED PARTY TRANSACTIONS - March 31, 2021

(Rs in Lakhs)

Sr.No.	Name of the Related Party	Nature of Relationship with the Company	Description of Transactions / Categories	Consideration paid / received			
				For the quarter ended March 31, 2021	Upto the quarter ended March 31, 2021	For the quarter ended March 31, 2020	Upto the quarter ended March 31, 2020
1	KOTAK INVESTMENT ADVISORS LTD	Fellow Subsidiary	Claims Paid	2.15	2.72	-	0.82
			Premium Deposits	0.26	0.26	0.82	0.82
			Premium Received in Advance	1.00	1.44	0.04	0.44
			Premium Income	1.27	4.56	1.02	2.52
2	KOTAK MAHINDRA ASSET MANAGEMENT COMPANY LTD	Fellow Subsidiary	Claims Paid	0.14	0.84	1.55	8.92
			Premium Deposits	2.64	2.64	(0.53)	0.00
			Premium Income	2.05	10.05	3.01	10.64
			Premium Received in Advance	(1.38)	0.68	2.23	2.80
3	KOTAK MAHINDRA INVESTMENT LTD	Fellow Subsidiary	Premium Deposits	0.00	0.00	(0.40)	0.00
			Premium Income	1.80	4.02	2.21	4.43
			Premium Received in Advance	(1.00)	0.37	(0.01)	0.66
			Claims Paid	-	0.08	-	0.27
4	KOTAK MAHINDRA PRIME LTD	Fellow Subsidiary	Advance Commission	(0.26)	0.24	(0.33)	0.21
			Claims Paid	0.15	0.15	0.51	1.92
			Commission Paid	22.72	110.32	19.15	98.82
			Commission Payable	(0.73)	7.11	(3.04)	4.87
			Premium Deposits	6.09	28.46	1.86	41.00
			Premium Income	2.58	7.67	5.24	17.44
			Premium Received in Advance	(0.45)	0.69	(0.12)	1.14
			Transfer of Employee Liability to Group Companies	0.42	0.42	-	-
			Outstanding Payables	(0.42)	0.89	(0.13)	0.27
			Reimbursement to Group Companies	0.65	2.66	0.60	2.96
			Rent Premises	0.46	1.84	0.46	1.84
			Claims Paid	2.43	82.99	3.09	13.87
			Commission Paid	0.49	3.47	0.67	0.87
Outstanding Payables	(2.21)	-	(0.54)	0.54			
Premium Deposits	(0.51)	0.43	2.18	2.71			
Commission Payable	0.50	3.12	0.87	0.87			
Premium Income	4.27	40.72	6.10	48.78			
Reimbursement to Group Companies	-	-	-	6.00			
Advance Commission	-	-	0.07	0.07			
Premium Received in Advance	0.05	3.13	(1.66)	3.34			
Bank Charges	(8.63)	6.23	(5.88)	3.58			
6	Kotak Mahindra Bank Ltd.	Holding Company	Bank Balance	(647.85)	503.45	(457.76)	387.99
			Claims Paid	20.10	72.08	26.59	157.42
			Commission Paid	273.74	1,578.24	319.98	1,319.70
			Commission Payable	(48.66)	68.85	(32.33)	70.34
			Equity Share Issuance	-	2.500	-	8,500.00
			Outstanding Payables	(66.13)	60.97	(61.03)	63.60
			Bank Charges	2.06	5.14	1.29	5.38
			Premium Deposits	4.65	124.24	45.99	191.37
			Premium Income	264.03	398.82	256.09	408.77
			Premium Received in Advance	(1.74)	24.97	0.88	32.05
			Rent Premises	65.67	263.15	71.97	290.53
			Royalty Paid	10.00	10.00	-	-
			Reimbursement to Group Companies	81.64	334.05	83.14	342.69
			Transfer of Employee Liability to Group Companies	0.34	2.31	0.98	1.84
			Employee Insurance Premium	2.14	6.76	5.23	5.23
			Outstanding Payables	(7.08)	0.33	(2.08)	0.52
			Premium Income	7.13	42.18	7.29	26.33
Prepaid Expenses	(1.29)	0.21	(1.26)	0.00			
Premium Deposits	(2.39)	0.29	(0.38)	1.00			
Receivable from Group Company	-	-	(0.81)	1.89			
Reimbursement to Group Companies	0.30	1.66	0.69	5.93			
Reimbursement From Group Companies	-	2.48	1.88	8.25			
Rent Recovery	-	6.40	3.91	15.44			
Rent Premises	0.54	2.09	0.50	6.48			
Reimbursement to Group for Employee Transfer Expense	-	12.02	-	-			
Premium Received in Advance	0.77	3.32	-	3.82			
Claims Paid	2.37	8.14	13.95	17.72			
Employee Asset Transfer	-	-	5.11	5.11			
Transfer of Employee Liability to Group Companies	-	-	11.00	11.00			
Transfer of Employee Liability from Group Companies	0.60	0.60	-	-			
Premium Income	0.50	6.09	0.60	6.15			
Claims Paid	2.22	3.21	0.50	1.34			
Premium Received in Advance	-	0.27	-	0.52			
Premium Deposits	0.00	0.00	-	0.00			
Premium Income	-	0.49	-	0.80			
Claims Paid	2.14	2.14	-	-			
Premium Received in Advance	-	0.07	-	0.13			
Premium Income	-	0.01	-	-			
Premium Deposits	0.02	0.02	-	-			
11	KOTAK INFRASTRUCTURE DEBT FUND LTD	Fellow Subsidiary	Premium Income	0.96	0.96	-	-
			Premium Deposits	0.00	0.00	-	-
			Premium Income	7.25	66.51	12.08	75.94
			Claims Paid	35.42	59.65	3.08	21.22
12	BSS MICROFINANCE LTD	Fellow Subsidiary	Premium Deposits	30.38	38.93	(6.03)	2.31
			Premium Income	-	0.00	-	0.00
			Premium Income	0.81	0.93	0.83	0.83
			Claims Paid	-	0.04	-	-
13	BALASUBRAMANIAN T S	Relative of CEO	Premium Deposits	-	0.00	-	0.00
			Premium Income	-	0.00	-	0.04
			Premium Income	0.81	0.93	0.83	0.83
			Claims Paid	-	0.04	-	-
14	Mahesh Balasubramanian	Managing Director & CEO	Premium Deposits	-	0.00	-	0.00
			Premium Received in Advance	(0.04)	0.04	0.02	0.08
			Remuneration of KMP	82.54	266.50	100.21	292.64
			Premium Received in Advance	0.07	0.07	-	-
15	Phoenix ARC Private Limited	Enterprises over which individual or their relatives have their significant influence	Premium Income	0.17	0.71	-	0.99
			Premium Deposits	-	0.00	-	0.00
			Claims Paid	-	0.29	-	-
			Premium Income	0.54	1.78	0.68	2.54
16	Uday Kotak and Relatives	Individual having Significant Influence	Premium Received in Advance	-	0.08	0.13	0.29
			Premium Income	-	0.72	-	0.77
			Claims Paid	-	-	-	0.49
			Premium Received in Advance	-	-	-	0.07
17	Kotak Commodities Services Private Ltd	Enterprises over which individual or their relatives have their significant influence	Premium Deposits	-	0.00	0.00	0.00
			Claims Paid	-	-	-	-
			Premium Received in Advance	-	-	-	-
			Premium Income	-	0.00	0.00	0.00
18	Infina Finance Private Ltd	Enterprises over which individual or their relatives have their significant influence	Claims Paid	0.15	0.36	-	2.74
			Premium Received in Advance	(0.08)	-	(0.08)	0.08
			Premium Income	0.70	1.41	0.18	0.90
			Premium Income	-	-	-	0.03
19	Harisiddha Trading and Finance Pvt Ltd	Enterprises over which individual or their relatives have their significant influence	Premium Income	-	-	-	-
			Premium Income	-	-	0.13	19.07
			Claims Paid	-	-	1.99	8.51
			Premium Deposits	-	-	(0.09)	2.10
20	Palko Properties Private Ltd	Enterprises over which individual or their relatives have their significant influence	Premium Income	0.30	0.56	-	0.16
			Premium Received in Advance	0.16	0.16	-	-
			Claims Paid	-	0.12	-	-
			Premium Income	-	0.01	-	-
21	Kotak Education Foundation	Enterprises over which individual or their relatives have their significant influence	Premium Income	-	0.23	-	-
			Premium Income	-	-	-	-
			Premium Income	-	0.15	-	-
			Premium Income	-	0.15	-	-
22	Business Standard Private Limited	Enterprises over which individual or their relatives have their significant influence	Premium Income	-	-	-	-
			Premium Income	-	-	-	-
			Premium Income	-	-	-	-
			Premium Income	-	-	-	-
23	INSUREKOT SPORTS PVT.LTD	Enterprises over which individual or their relatives have their significant influence	Premium Income	-	-	-	-
			Premium Income	-	-	-	-
			Premium Income	-	-	-	-
			Premium Income	-	-	-	-
24	KOMAF FINANCIAL SERVICES PVT LTD	Enterprises over which individual or their relatives have their significant influence	Premium Income	-	-	-	-
			Premium Income	-	-	-	-
			Premium Income	-	-	-	-
			Premium Income	-	-	-	-
				163	6,818	454.24	12,614