



**DETAILS OF EQUITY HOLDING OF INSURERS**

**PART A:**

**PARTICULARS OF THE SHREHOLDING PATTERN OF THE KOTAK MAHINDRA GENERAL INSURANCE COMPANY LIMITED AS AT QUARTER ENDED SEPTEMBER 30, 2021**

Sl. No.	Category	No. of Investors	No. of shares held	% of share-holdings (IV)	Paid up equity (Rs. In lakhs) (V)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
						Number of shares (VI)	As a percentage of Total Shares held (VII) = (VI)/(III)*100	Number of shares (VIII)	As a percentage of Total Shares held (IX) = (VIII)/(III)*100
<b>A</b>	<b>Promoters &amp; Promoters Group</b>								
<b>A.1</b>	<b>Indian Promoters</b>								
i)	Individuals/HUF (Names of major shareholders):		-	-	-	-	-	-	-
ii)	Bodies Corporate:		-	-	-	-	-	-	-
iii)	Financial Institutions/ Banks		-	-	-	-	-	-	-
	i) Kotak Mahindra Bank Limited	1	45,50,00,000	100%	45,500.00	-	-	-	-
iv)	Central Government/ State Government(s) / President of India		-	-	-	-	-	-	-
v)	Persons acting in concert (Please specify)		-	-	-	-	-	-	-
vi)	Any other (Please specify)		-	-	-	-	-	-	-
<b>A.2</b>	<b>Foreign Promoters</b>								
i)	Individuals (Name of major shareholders):		-	-	-	-	-	-	-
ii)	Bodies Corporate:		-	-	-	-	-	-	-
iii)	Any other (Please specify)		-	-	-	-	-	-	-
<b>B.</b>	<b>Non Promoters</b>								
<b>B.1</b>	<b>Public Shareholders</b>								
1.1)	<b>Institutions</b>								
i)	Mutual Funds		-	-	-	-	-	-	-
ii)	Foreign Portfolio Investors		-	-	-	-	-	-	-
iii)	Financial Institutions/Banks		-	-	-	-	-	-	-
iv)	Insurance Companies		-	-	-	-	-	-	-
v)	FII belonging to Foreign promoter		-	-	-	-	-	-	-
vi)	FII belonging to Foreign Promoter of Indian Promoter		-	-	-	-	-	-	-
vii)	Provident Fund/Pension Fund		-	-	-	-	-	-	-
viii)	Alternative Investment Fund		-	-	-	-	-	-	-
ix)	Any other (Please specify)		-	-	-	-	-	-	-
1.2)	<b>Central Government/ State Government(s)/ President of India</b>		-	-	-	-	-	-	-
1.3)	<b>Non-Institutions</b>								
i)	Individual share capital upto Rs. 2 Lacs		-	-	-	-	-	-	-
ii)	Individual share capital in excess of Rs. 2 Lacs		-	-	-	-	-	-	-
iii)	NBFCs registered with RBI		-	-	-	-	-	-	-
iv)	Others:		-	-	-	-	-	-	-
	- Trusts		-	-	-	-	-	-	-
	- Non Resident Indian		-	-	-	-	-	-	-
	- Clearing Members		-	-	-	-	-	-	-
	- Non Resident Indian Non Repartriable		-	-	-	-	-	-	-
	- Bodies Corporate		-	-	-	-	-	-	-
	- IEPF		-	-	-	-	-	-	-
v)	Any other (Please Specify)		-	-	-	-	-	-	-
<b>B.2</b>	<b>Non Public Shareholders</b>								
2.1)	Custodian/DR Holder		-	-	-	-	-	-	-
2.2)	Employee Benefit Trust		-	-	-	-	-	-	-
2.3)	Any other (Please specify)		-	-	-	-	-	-	-
<b>Total</b>			45,50,00,000	100%	45,500.00	-	-	-	-

**Foot Notes:**

- (a) All holdings, above 1% of the paid up equity, have to be separately disclosed.  
 (b) Indian Promoters - As defined under Regulation 2(1)(g) of the Insurance Regulatory and Development Authority (Registration of Indian Insurance Companies) Regulations, 2000  
 (c) Where a company is listed, the column "Shares pledged or otherwise encumbered" shall not be applicable to "Non Promoters" category

PARTICULARS OF THE SHAREHOLDING PATTERN IN THE INDIAN PROMOTER COMPANY(S) / INDIAN INVESTOR(S) AS INDICATED AT (A) ABOVE



PART B:

Name of the Indian Promoter / Indian Investor: Kotak Mahindra Bank Limited  
AS AT QUARTER ENDED SEPTEMBER 30, 2021

Sl. No.	Category	No. of Investors	No. of shares held	% of share-holdings	Paid up equity (Rs. In lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
						Number of shares (VI)	As a Percentage of Total Shares held (VII) = (VI)/(III)*100	Number of shares (VIII)	As a percentage of Total Shares held (IX) = (VIII)/(III)*100
(I)	(II)		(III)	(IV)	(V)				
<b>A</b>	<b>Promoters &amp; Promoters Group</b>								
<b>A.1</b>	<b>Indian Promoters</b>								
i)	Individuals/HUF (Names of major shareholders):								
	(i) Uday Suresh Kotak	1	51,09,27,100	25.76%	25,546.36	-	0.00%	-	-
	(ii) Suresh A Kotak (HUF)	1	1,10,000	0.01%	5.50	-	0.00%	-	-
	(iii) Pallavi Kotak	1	11,11,580	0.06%	55.58	-	0.00%	-	-
	(iv) Suresh Amritlal Kotak	1	1,00,000	0.01%	5.00	-	0.00%	-	-
	(v) Indira Suresh Kotak	1	20,00,000	0.10%	100.00	-	0.00%	-	-
	(vi) Janak Dinkarra Desai	1	10,24,500	0.05%	51.23	-	0.00%	-	-
	(vii) Aarti Neal Chandaria	1	57,360	0.00%	2.87	-	0.00%	-	-
ii)	Bodies Corporate:								
iii)	Financial Institutions/ Banks			0.00%	-	-	0.00%	-	-
iv)	Central Government/ State Government(s) / President of India			0.00%	-	-	0.00%	-	-
v)	Persons acting in concert (Please specify)			0.00%	-	-	0.00%	-	-
vi)	Any other (Please specify)								
	(i) KOTAK TRUSTEE COMPANY PVT. LTD (These shares are held by Kotak Trustee Company Pvt. Ltd. as a trustee for USK Benefit Trust – II of which, Mr. Uday Suresh Kotak is the sole beneficiary)	1	2,34,356	0.01%	11.72	-	0.00%	-	-
<b>A.2</b>	<b>Foreign Promoters</b>								
i)	Individuals (Name of major shareholders):			0.00%	-	-	0.00%	-	-
ii)	Bodies Corporate:			0.00%	-	-	0.00%	-	-
iii)	Any other (Please specify)			0.00%	-	-	0.00%	-	-
<b>B.</b>	<b>Non Promoters</b>								
<b>B.1</b>	<b>Public Shareholders</b>								
1.1)	Institutions								
i)	Mutual Funds	38	16,39,69,550	8.27%	8,198.48	82,483	0.05%	-	-
ii)	Foreign Portfolio Investors	1079	84,44,22,954	42.58%	42,221.15	-	0.00%	-	-
iii)	Financial Institutions/Banks	14	6,85,052	0.03%	34.25	-	0.00%	-	-
iv)	Insurance Companies	41	13,31,02,853	6.71%	6,655.14	-	0.00%	-	-
v)	FII belonging to Foreign promoter of Indian Promoter (a)	0	-	0.00%	-	-	0.00%	-	-
vi)	FII belonging to Foreign promoter of Indian Promoter (e)	0	-	0.00%	-	-	0.00%	-	-
vii)	Provident Fund/Pension Fund	0	-	0.00%	-	-	0.00%	-	-
viii)	Alternative Investment Fund	45	55,14,816	0.28%	275.74	1,42,496	2.58%	-	-
ix)	Any other (Please specify)	0	-	0.00%	-	-	0.00%	-	-
1.2)	Central Government/ State Government(s)/ President of India			0.00%	-	-	0.00%	-	-
1.3)	Non-Institutions			0.00%	-	-	0.00%	-	-
i)	Individual share capital upto Rs. 2 Lacs	456552	8,64,05,752	4.36%	4,320.29	69,19,952	8.01%	-	-
ii)	Individual share capital in excess of Rs. 2 Lacs	337	9,11,34,113	4.60%	4,556.71	39,66,171	4.35%	-	-
iii)	NBFCs registered with RBI	19	6,55,137	0.03%	32.76	5,800	0.89%	-	-
iv)	Others:			0.00%	-	-	0.00%	-	-
	- Trusts	75	2,43,53,527	1.23%	1,217.68	2,167	0.01%	-	-
	- Non Resident Indian	4704	34,52,989	0.17%	172.65	33,195	0.96%	-	-
	- Clearing Members			0.00%	-	-	0.00%	-	-
	- Non Resident Indian Non Repatriable	9324	53,18,990	0.27%	265.95	47,975	0.90%	-	-
	- Bodies Corporate	3278	3,68,22,190	1.86%	1,841.11	23,68,341	6.43%	-	-
	- IEPF	1	33,85,085	0.17%	169.25	-	0.00%	-	-
v)	Any other (Please Specify)								
	Foreign National	1	1,450	0.00%	0.07	-	0.00%	-	-
	Foreign Corporate Bodies	1	2,59,66,992	1.31%	1,298.35	-	0.00%	-	-
	Clearing Members	151	15,10,325	0.08%	75.52	-	0.00%	-	-
	Foreign Bank	2	3,28,13,072	1.65%	1,640.65	-	0.00%	-	-
	Overseas Corporate Bodies	1	80,07,960	0.40%	400.40	-	0.00%	-	-
<b>B.2</b>	<b>Non Public Shareholders</b>			0.00%	-	-	0.00%	-	-
2.1)	Custodian/DR Holder			0.00%	-	-	0.00%	-	-
2.2)	Employee Benefit Trust			0.00%	-	-	0.00%	-	-
2.3)	Any other (Please specify)			0.00%	-	-	0.00%	-	-
<b>Total</b>		<b>4,75,671</b>	<b>1,98,30,87,703</b>	<b>100%</b>	<b>99,154.39</b>	<b>1,35,68,580</b>	<b>24.18%</b>	-	-